

THE EFFECTS OF DAM REMOVAL ON RIVER COLONIZATION BY SEA LAMPREY  
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## ABSTRACT

Habitat fragmentation is an important cause of biodiversity loss in freshwater systems, as worldwide rivers have been fragmented by dams and other hydraulic structures. To restore freshwater fish populations, some barriers have been removed, but the long-term ecological effects of this removal have been rarely quantified. In the present study, we quantified the effects of barrier removal on river colonization by anadromous sea lamprey (*Petromyzon marinus*) by analyzing the spatial distribution and nest density in a small coastal river (France) from 1994 to 2011. Our results demonstrated the benefit of dam removal within few years after restoration. Indeed, the spatial distribution of nests shifted significantly upstream and was more uniform throughout the river after removal. Our results also suggest that the spatial patterns of habitat colonization were affected by the density of nests, river flow and connectivity. Finally, although the number of nests was significantly higher after removal, it was not possible to clearly identify the contribution of intrinsic versus external factors involved in this pattern. Further investigations are therefore needed to quantify the potential subsequent effects on juvenile recruitment and the overall population dynamics. Copyright © 2014 John Wiley & Sons, Ltd.

KEY WORDS: diadromous fish; river connectivity; habitat restoration; colonization; spawning

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## INTRODUCTION

Most temperate rivers have been highly fragmented by impoundments through the construction of mill weirs, barrages and dams for hydropower production, flood protection and water supply for human consumption or agriculture (Dynesius and Nilsson, 1994; Nilsson *et al.*, 2005; Liermann *et al.*, 2012). These barriers have caused an important loss of hydrological connectivity, that is, the ‘water-mediated transfer of matter, energy, and/or organisms within or between elements of the hydrologic cycle’ (Pringle, 2001), between the upstream and downstream parts of rivers. The alteration of hydrological connectivity has been advocated as a major source of biodiversity loss and changes in freshwater ecosystem functioning (Pringle *et al.*, 2000; Pringle, 2003). Most specifically, impoundments may prevent the displacement and migrations of organisms within the riverine networks and between habitats necessary for life-cycle completion (Lucas *et al.*, 2009). This can subsequently modify gene flow

within and between populations (Horreo *et al.*, 2011; Alp *et al.*, 2012), species abundance and community composition (Nislow *et al.*, 2011; Rolls *et al.*, 2013). Therefore, restoring hydrological connectivity is of utmost importance for the maintenance and conservation of aquatic biodiversity (Liermann *et al.*, 2012).

Restoring hydrological connectivity can be achieved principally by installation of fish passes (Prato *et al.*, 2011) and removal of barriers (Hart *et al.*, 2002). Although fish passes may be a cost-effective approach to improve the downstream and/or upstream movements of fish while maintaining human activities associated with the presence of a barrier (e.g. hydropower production, fishery, recreational activity and water withdrawal), the efficiency of most of the fish passes is limited for most of the weak swimmer species (Noonan *et al.*, 2012). Barrier removal is a more holistic alternative as it restores longitudinal connectivity for many organisms (Hart *et al.*, 2002). The number of barriers that have been removed has dramatically increased during the last decades (Bednarek, 2001; Hart *et al.*, 2002; Bernhardt *et al.*, 2005), and the costs associated with their removal are context dependent and mainly related to the size and nature of the barrier (Bernhardt *et al.*, 2005; de Leaniz, 2008). When the barrier that is planned to be

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removed is also associated with socio-economic activities, its social acceptance is often challenging (Hart and Poff, 2002; Lejon *et al.*, 2009). To improve this social acceptance, the ecological effects of barrier removal should therefore be quantified and communicated (Kibler *et al.*, 2011; Rolls *et al.*, 2013). However, to date, long-term studies providing such information are still scarce (Bernhardt *et al.*, 2005; Roni *et al.*, 2008).

Diadromous fish are amongst the organisms that are the most impacted by barriers because these species need to migrate between marine and freshwater ecosystems at least twice during their life cycle (Lucas and Baras, 2001). The negative ecological impacts of barriers on diadromous fish have been well documented (Cote *et al.*, 2009; Lassalle *et al.*, 2009; Liermann *et al.*, 2012). Connectivity alteration by barriers reduces river colonization from downstream areas, and suitable upstream habitats may remain inaccessible for diadromous populations (McDowall, 1998). Here, we used the removal of a barrier in a coastal river (Scorff River, France) as a unique opportunity to quantify the ecological effects of river connectivity restoration on diadromous fishes using sea lamprey (*Petromyzon marinus*) as a model organism. Sea lampreys are anadromous and spend their first years as filter feeding, blind larvae burrowing into soft sediments of rivers. After 4–7 years, they undergo a metamorphosis and migrate out of rivers where they forage on various marine vertebrates (Lança *et al.*, 2013; Silva *et al.*, 2013). Adults return in fresh waters to spawn and then die. Sea lampreys are distributed along the North American and European coasts in rivers and also in some case in landlocked populations (Hardisty and Potter, 1971). As many other diadromous fishes (Limburg and Waldman, 2009), sea lamprey populations face various threats: habitat fragmentation, pollution, habitat loss, overexploitation and climate change (Beaulaton *et al.*, 2008, Lassalle *et al.*, 2008). Lampreys are known to be sensitive to connectivity alteration and notably to low-head barriers (i.e. small barriers, generally <5-m height) because of their relatively poor swimming ability (Lucas *et al.*, 2009; Jackson and Moser, 2012; Nunn and Cowx, 2012). Although such low-head barriers are generally known to allow fish migration under specific environmental conditions, such as high flow discharge (Andrade *et al.*, 2007; Lucas *et al.*, 2009), they may also hamper fish movement when located downstream of catchment areas (Lucas *et al.*, 2009; Rolls, 2011; Gardner *et al.*, 2013). A recent work showed that they could nonetheless (re-)colonize upstream reaches after low-head barrier removal (Hogg *et al.*, 2013). Using temporal and spatial patterns of sea lamprey nest distributions collected over an 18-year period (6 years before and 5 years after barrier removal), the aim of the present study was to quantify the effects of barrier removal on the density and distribution of sea lamprey nests. Specifically, we tested whether increased river connectivity through barrier removal

modified distribution patterns by decreasing nest density below the barrier leading to a more uniform distribution along the river and by increasing the relative proportion of nests in the upstream sections.

## MATERIAL AND METHODS

### *Study area*

The Scorff River is a small (75 km long, Figure 1) coastal river located in Southern Brittany, France, that was selected as a study site because it hosts the Moulin des Princes field station that is monitoring salmonid populations and environmental conditions in the river. The Scorff River drains a 480-km<sup>2</sup> watershed with a mean annual flow of 5.16 m<sup>3</sup> s<sup>-1</sup> (ranging from 1.39 in late summer to 10.1 m<sup>3</sup> s<sup>-1</sup> in the winter/spring, period of 1994–2011). Sea lamprey is only present in the main river and does not colonize tributaries. The study area includes a 35 km-long reach located upstream of the tidal influence limit (Figure 1). A fish farm weir (3 m in height) located 25 km from the river mouth was removed in 2000 as farming activity ceased. It was suspected to have a major impact on catchment colonization, although some lampreys could migrate upstream by a small side channel that was designed to supply water for the fish farm in high flow conditions. Several disused mills, which have no visible impact on lamprey migration (except at the upmost part of the study sector between sections 9 and 10), were used to delimit the monitoring sections (Figure 1). No lamprey nests have ever been observed upstream of section 9.

### *Habitat availability for sea lamprey*

Sea lampreys require specific habitat to spawn (Hardisty and Potter, 1971; Andrade *et al.*, 2007). Suitable substrate is composed of gravel and cobbles [i.e. particles ranging from 9.5 to 50 mm according to Applegate (1950) or from 15 to 115 mm according to Morman *et al.* (1980)], while suitable flow ranges from 0.4 to 1.6 m s<sup>-1</sup> (Applegate, 1950) or from 0.15 to 0.6 m s<sup>-1</sup> (Igoe *et al.*, 2004). Water depth is less limiting as spawning can occur across a wide range (e.g. from 5 to 152 cm in Morman *et al.*, 1980). Following Andrade *et al.* (2007), suitable spawning habitat was defined as riffles and runs with at least 30% gravel or cobble substrate. Suitable habitat for larvae consists of sand or silt/mud possibly with organic debris in pools and fine particle deposit area (Applegate, 1950; Hardisty and Potter, 1971). To quantify the suitable habitat for sea lamprey in Scorff River, homogenous hydrodynamic habitat units (i.e. riffles, run and pool) were mapped in August 2010 and the surface of each habitat in each section quantified using a geographic information system (GIS; refer to succeeding texts). Substrate was visually classified into

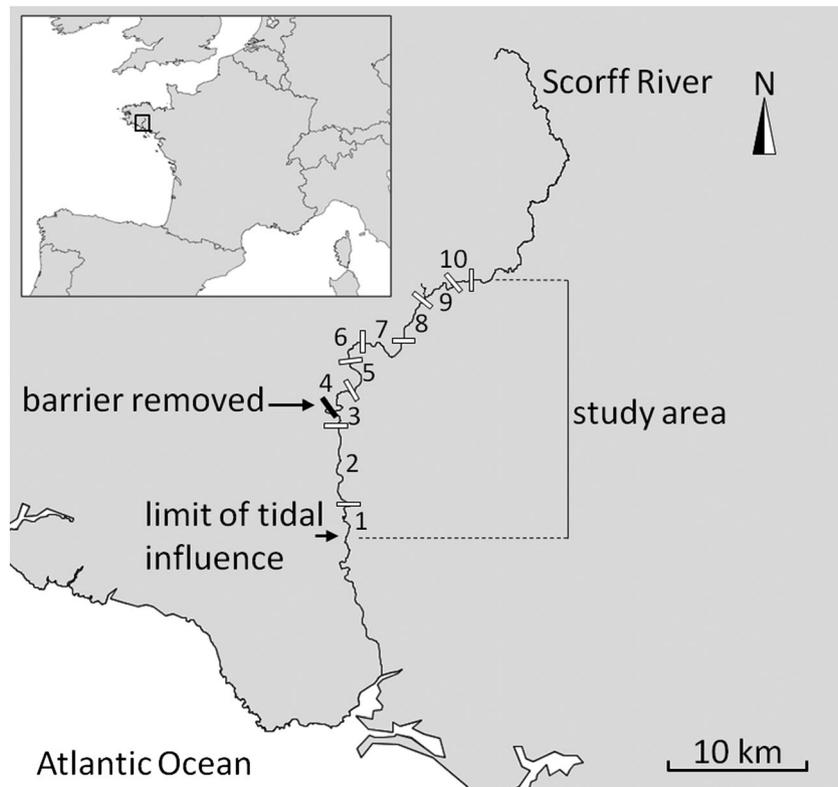


Figure 1. The Scorff River and location of the monitored sections. The black bar represents the barrier removed in 2000

six categories (rock, >500 mm; boulders, 200–500 mm; cobbles, 100–200 mm; gravels/pebbles, 2–100 mm; sand, 0.2–2 mm and silt/mud, <0.2 mm) and quantified as a percentage of surface area. Using substrate and hydrodynamical characteristics of the sections,  $14.98 \cdot 10^4 \text{ m}^2$  was classified as suitable for spawning and  $8.86 \cdot 10^4 \text{ m}^2$  as suitable for larvae among the  $46.52 \cdot 10^4 \text{ m}^2$  of aquatic habitat in the river (refer to details for each river section in the Supplementary Material 1). No longitudinal gradient was detected for spawning habitat, whereas larval habitat surface tended to slightly decrease upstream.

#### *Nest density and spatial distribution*

The density and spatial distribution of sea lamprey nests were quantified by monitoring each river section after the spawning season that typically started in May and ended in July (Sabatié *et al.*, 2011) and counting each individual nest. Nest density was calculated as the number of nests per unit of suitable spawning habitat surface. Monitoring dates were selected to obtain clear water conditions once spawning activity was finished (i.e. no or very few spawners still present in the spawning habitats) as nests could be detected several weeks after construction as they are built with large stones (Sousa *et al.*, 2012). No other species

was spawning in these habitats at this season. Monitoring was performed by boat or wading from 1994 to 1999 and in 2001, 2002, 2009 and 2011. In 2005, only total river abundance of nests was measured. Although most of the nests are built and occupied by a single pair (Hardisty and Potter, 1971), the number of adults involved in a nest is variable, and an individual may spawn and build several nests (Harvey and Cowx, 2003; Moser *et al.*, 2007; Sousa *et al.*, 2012). However, a recent study combining nest counts and mark-recapture analysis suggests that nest count could provide a reliable and strong proxy of spawner abundance (Hogg *et al.*, 2013). Additionally, river flow, known to influence river colonization by lampreys (Almeida *et al.*, 2002; Andrade *et al.*, 2007; Lucas *et al.*, 2009), has been measured every hour at an automated gauging station all along the entire study period (Direction Régionale de l'Environnement, de l'Aménagement et du Logement Bretagne). Using these measurements, mean daily flow was calculated from April to June during each study year and used as a metric of hydrological conditions during the spawning run.

#### *Statistical analyses*

The limits of hydrodynamic habitats, the locations of nests, the removed barrier and section limits along the Scorff River

were integrated into a GIS database (gvSIG©, <http://www.gvsig.org>). Five metrics were then calculated to quantify the spatial distribution of nests: first quartile, mean, median, third quartile and maximal distance of the nests to the river mouth. Spatial distribution metrics were compared before and after barrier removal using Wilcoxon non-parametric tests. The relationships between the number of nests and distribution metrics were then tested using a linear regression on model log-transformed data. Data were too scarce to statistically explore the combined effects of the number of nests, barrier removal and flow on the spatial distribution of nests. Therefore, bivariate plots were used to examine potential trends. All statistical analyses were performed using R (R Core Team, 2012).

## RESULTS

### Number of nests in the river

The number of nests in the river was highly variable during the study period, with a mean value of 223.82 nests ( $\pm 193.20$  SD) and ranging from 21 in 1996 to 661 in 2011 (Figure 2). Overall, the number of nests after barrier removal (mean = 348.00 nests ( $\pm 211.7$  SD), range: 180–661) was significantly higher (Wilcoxon test,  $W=2$ ,  $p < 0.05$ ) than that before barrier removal [mean = 120.33 ( $\pm 102.40$  SD), range: 21–311].

### Spatial distribution

Nest distribution was spatially (i.e. downstream versus upstream) and temporally (i.e. before versus after removal) variable (Figure 3). The most downstream nests occurred at 13.90 km from the river mouth, that is, just upstream of the tidal limit. Downstream of the removed barrier, mean nest density did not differ significantly before and after

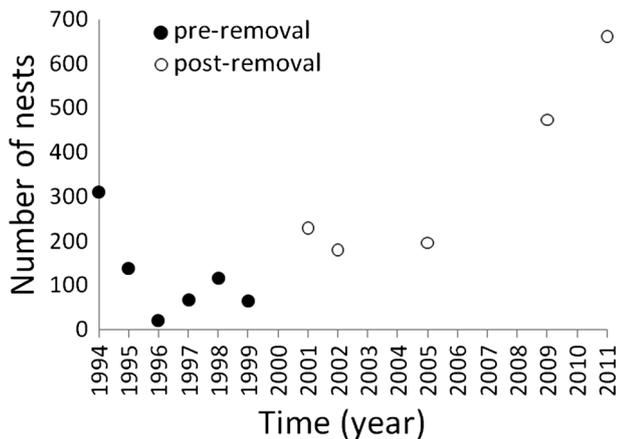


Figure 2. Number of sea lamprey nests in the Scorff River before (black symbols) and after (white symbols) barrier removal

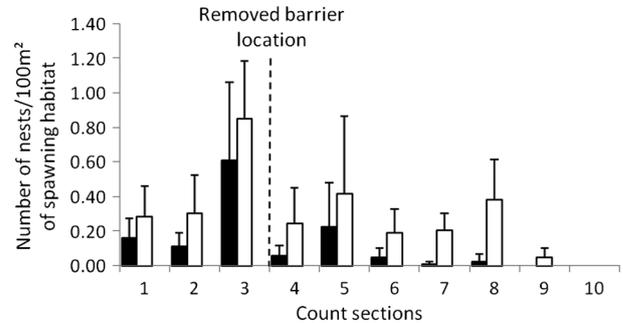


Figure 3. Mean density of nests (number per 100 m<sup>2</sup> of suitable habitat surface area) in each monitored section along the Scorff River before (black bars) and after (white bars) barrier removal. Error bars represent standard deviations

removal [before removal: 0.20 nests 100 m<sup>-2</sup> suitable spawning habitat ( $\pm 0.13$  SD); after removal: 0.39 nests 100 m<sup>-2</sup> suitable spawning habitat ( $\pm 0.21$  SD); Wilcoxon test,  $W=5$ ,  $p$ -value  $> 0.10$ ]. However, the density of nests located upstream of the barrier significantly increased after barrier removal [before removal: 0.04 ( $\pm 0.05$  SD); after removal: 0.22 ( $\pm 0.14$  SD); Wilcoxon test,  $W=1$ ,  $p$ -value 0.05]. This change in spatial distribution upstream was observed in 2001 and 2002 (i.e. the years following barrier removal) when the number of nests in the river was within the range of the values observed before removal (Supplementary Material 2). Except for the first quartile distance and median distance, all spatial distribution metrics significantly increased after barrier removal (refer to details in Table I), demonstrating an increased proportion of nests in the upstream sections. For instance, the maximum colonized distance increased by approximately 20% (i.e. 7.2 km) after barrier removal. Additionally, mean, third quartile and maximum distance of nests significantly increased as the number of nests in the river increased (Figure 4).

Before removal, low spatial distributions were observed in four (1995, 1996, 1997 and 1999) of the six monitored years (Figure 5a–b). In 1994 and 1998, spatial distribution was close to the median value measured throughout the study. During this period, low spatial distributions were associated with low number of nests in the river (Figure 5a)

Table I. Colonization metrics (mean  $\pm$  SD) before and after barrier removal

Spatial distribution metric	Distance upstream (km)		Wilcoxon test	
	Pre-removal	Post-removal	$W$	$p$
Mean	24.2 $\pm$ 1.2	28.3 $\pm$ 1.9	1	0.02
First quartile	21.1 $\pm$ 2.4	22.7 $\pm$ 3.2	7.5	0.38
Median	24.0 $\pm$ 1.0	27.3 $\pm$ 3.5	2	0.04
Third quartile	27.4 $\pm$ 2.5	33.6 $\pm$ 2.7	1	0.03
Maximum	35.2 $\pm$ 4.1	42.3 $\pm$ 2.2	2	0.03

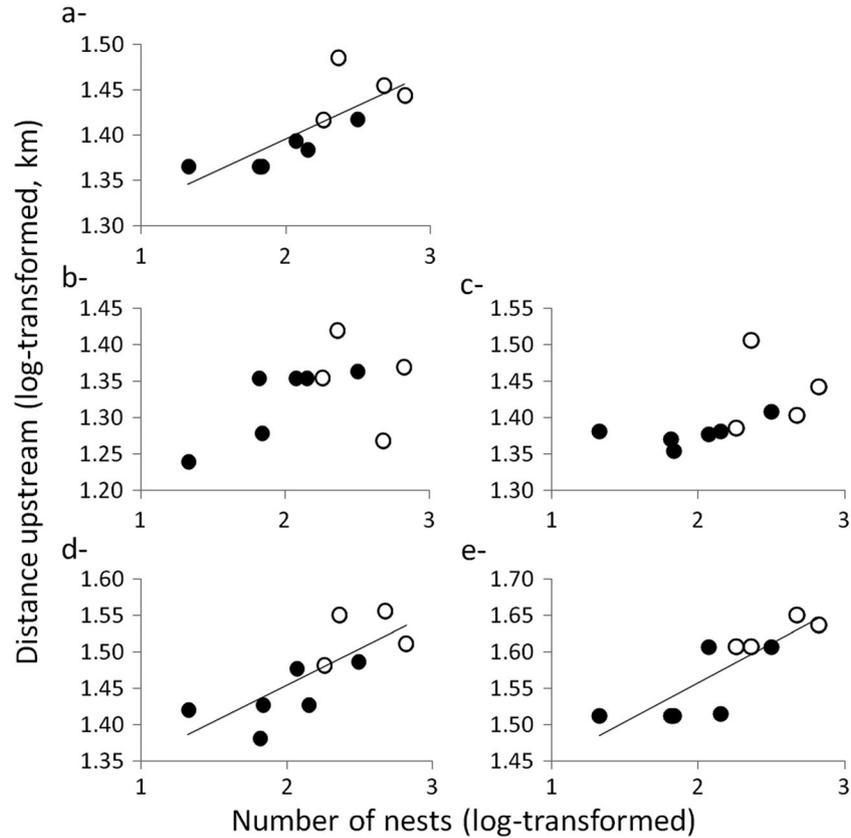


Figure 4. Relationship between spatial distribution metrics and the number of nests before (black symbols) and after (white symbols) removal years: (a) mean distance ( $R^2$  adjusted (adj.) = 0.58,  $p < 0.01$ ), (b) first quartile distance ( $R^2$  adj. = 0.13,  $p > 0.10$ ), (c) median distance ( $R^2$  adj. = 0.20,  $p > 0.10$ ), (d) third quartile distance ( $R^2$  adj. = 0.56,  $p < 0.01$ ) and (e) maximum distance ( $R^2$  adj. = 0.68,  $p < 0.01$ ). Data were log-transformed

and low flow conditions (Figure 5b), while 1994 and 1998 were characterized by high flow conditions. After removal, spatial distribution was above the median values measured throughout the study (Figure 5a), while flow conditions ranged from very low flow (2011) to very high flow (2001). This suggests that the spatial distribution of nests was less dependent of flow after than before barrier removal.

DISCUSSION

Determining the efficiency of river restoration programmes such as barrier removal in improving biodiversity and ecological processes is crucial because such actions are costly, and to do so, before/after approaches are needed (Kibler *et al.*, 2011). Additionally, studies dealing with fish (Catalano *et al.*, 2007; Maloney *et al.*, 2008; Gardner

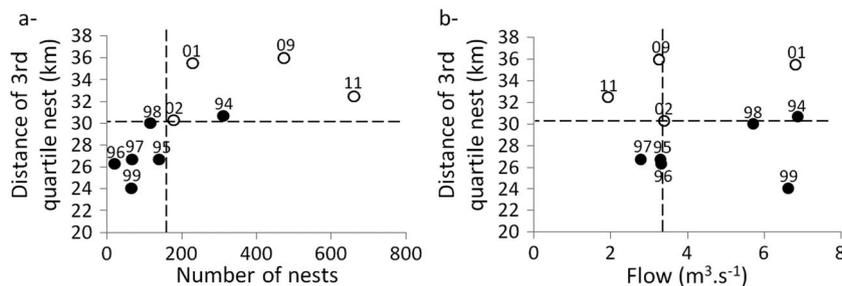


Figure 5. Relationships between the spatial distribution of nests (third quartile) and (a) the number of nests and (b) river flow before (black symbols) and after (white symbols) barrier removal. For each variable, the dashed line represents the median value

*et al.*, 2013) or macroinvertebrates (Maloney *et al.*, 2008; Chiu *et al.*, 2013; Renofalt *et al.*, 2013) have principally focused on community composition changes but rarely on population parameters (Stanley *et al.*, 2007; Hogg *et al.*, 2013). In the present study, we demonstrated that the spatial distribution of sea lamprey nests increased significantly upstream in the Scorff River after the removal of a barrier located in the middle part of the river as a result of improved connectivity between growing areas at sea and spawning grounds in fresh waters. Before removal, the barrier modified the relationship between spawning habitat availability and nest distribution because accessibility to suitable spawning habitat located upstream was limited (e.g. McDowall, 1998). Nests were principally located downstream of the barrier (66.2% of nests on average) although a fraction of adults were able to swim and spawn above the barrier. High flow might have reduced head loss between upstream and downstream parts and may have increased river width, creating alternative pathways for sea lamprey. In addition, high flow can be a cue stimulating upstream migration (Andrade *et al.*, 2007). The distribution of river lamprey *Lampetra fluviatilis* has been demonstrated to be negatively impacted by the cumulative effects of barriers, and spawners were able to colonize stretches located upstream of small barriers (2–3-m head loss) mainly during high-flow conditions (Lucas *et al.*, 2009). Here, although it is difficult to fully explore the effect of flow conditions on the spatial distribution of nests, we observed that, before barrier removal, the distribution was slightly enhanced upstream of the barrier under high-flow conditions when the number of nests and spawners was not too low. After removal and irrespective of river flow and the number of nests in the river, most of the nests (62.5% on average) were located upstream of the removed barrier. Except for the most upstream section that was equipped with another dam and the section located immediately downstream the barrier, the distribution of nest density along the river was relatively even, that is, nests were distributed proportionally to the available spawning habitat. These findings confirmed the recent observations of Hogg *et al.* (2013) conducted at a smaller spatial and temporal scale (i.e. 5.2 km of the Sedgeunkedunk River and 1-year pre-removal and a 2-year post-removal). Because spawning in densely occupied habitats probably induces costs associated with competition for mates or nest destruction by subsequent spawners (nest superimposition), migrating upstream might decrease these costs and increase spawning success and the survival and growth of larvae through decreased competition (Murdoch *et al.*, 1992). Therefore, colonization patterns might result from complex trade-offs that impoundments could perturb, but sea lamprey response to removal could be intense and rapid (Hogg *et al.*, 2013).

After removal, we also expected a decrease in the density of nests in the section located downstream of the barrier

(e.g. Hogg *et al.*, 2013). It was, however, not the case, and high suitability of spawning habitat in this section might have caused the observed pattern. Specifically, sea lamprey might have acted as an ecosystem engineer in this section. By spawning every year in high density in this section, sea lamprey might have durably modified the river bed and improved the suitability for spawning of the local habitat (Sousa *et al.*, 2012). An alternative and non-mutually exclusive hypothesis is that the presence of the barrier, by increasing the density of nests in this section during several years, has led to the presence of an important density of larvae in the vicinity. Because larval pheromones are a cue for adults to select habitat for spawning at large (i.e. river selection) and locally (i.e. nest location selection within 3 m and choice of a redd; Wagner *et al.*, 2009; Meckley *et al.*, 2012; Neeson *et al.*, 2012), increased larval density might have locally increased nest density. Therefore, long-term monitoring of spawning activity should provide information to determine the relative importance of the interacting mechanisms driving sea lamprey nest distribution.

In addition to the modification in the spatial distribution of nests, we also observed that the average number of nests in the river increased after barrier removal. Although the relationship between the number of nests and the number of sea lamprey spawners is not necessarily linear because the number of adults involved in a nest might be variable (Harvey and Cowx, 2003; Moser *et al.*, 2007) and assuming that the number of spawners per nest did not change before and after removal, it is likely that the total number of spawners in the river has increased after barrier removal. Combining a mark-recapture approach and nest counts, Hogg *et al.* (2013) demonstrated that the estimated number of spawners and the number of nests were highly correlated, and they increased within 2 years after dam removal, showing clear links between these two metrics. Sea lampreys do not display homing (Waldman *et al.*, 2008), and the entrance of spawners in a river is largely driven by olfactory cues (pheromones) produced by larvae (Polkinghorne *et al.*, 2001; Vrieze and Sorensen, 2001; Sorensen *et al.*, 2003; Vrieze *et al.*, 2010; Meckley *et al.*, 2012). Some authors have suggested the existence of a positive feedback between spawner abundance and larvae abundance: An increased abundance of spawners is expected to increase the number of larvae that, in turn, is expected to improve the attractiveness of the river for spawners (Neeson *et al.*, 2011; Neeson *et al.*, 2012). By improving the access to suitable spawning sites located upstream, barrier removal might have triggered such a feedback. Although no information is available in the studied river, this might have resulted in an increased number of spawners and spatial distribution of nests, as larval habitat was also available upstream. However, the observed increase in the number of nests in the Scorff River might

have been influenced, to some extent, by a general increased number of sea lamprey at a broader European scale that was observed from the end of the 1990s to 2005 (Beaulaton *et al.*, 2008). It is difficult here to disentangle the relative role of local (restoration action) versus global factors in driving the number of nests in the studied river. It is clear that the use of a reference site is important when monitoring the restoration effects (Kibler *et al.*, 2011; Gardner *et al.*, 2013; Rolls *et al.*, 2013). Nevertheless, after barrier removal, the spatial distribution of nests improved upstream irrespective of the number of nests and flow conditions.

Dam removal is becoming a more and more common practice for restoring the ecological integrity of rivers (Bednarek, 2001; Hart *et al.*, 2002; Bernhardt *et al.*, 2005). Nevertheless, understanding how it affects local populations and ecological processes is difficult. In the present study, we observed that barrier removal increased the upstream distribution of sea lamprey nests, irrespective of flow conditions and the number of nests, and these effects were observed rapidly. It allowed the colonization, every year, of suitable spawning habitats that were available upstream, with potential subsequent effects on the distribution of larvae in the river. Because the distribution and number of larvae in a river can affect the number of spawners, further investigations are needed to quantify the effects on the population dynamics.

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